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**FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO PTO)**

**Deliver to:** Delora Dillard - OIPE  
**Firm Name:** U.S. PATENT AND TRADEMARK OFFICE  
**Fax Number:** 703-305-9822 **Telephone No.:** \_\_\_\_\_  
**From:** Jordan M. Becker  
**Date:** 3/19/2003 **Time:** \_\_\_\_\_  
**Operator:** Julie Arango **Matter:** 3399P087C  
**Number of pages including cover sheet:** 69  
**In Re Patent Application of:** Peter F. King et al.  
**Application No.:** 09/533,556  
**Filed:** February 24, 1999  
**For:** Providing a Directory of Frequently Used Hyperlinks on a Remote Server

**Enclosed are the following documents:**

**Courtesy Copy of file wrapper for 09/533,556:**

- ☒ returned stamped postcard dated February 28, 2002 (1 pg.)
- ☒ Power of Attorney by Assignee and Revocation of Previous Powers (2 pgs.)
- ☒ returned stamped postcard dated January 7, 2002 (1 pg.)
- ☒ Request for Filing Receipt (2 pgs.)
- ☒ Decision Granting Petition (1 pg.)
- ☒ Change of Correspondence Address (1 pg.)
- ☒ Request for Change of Attorney Docket Number (2 pgs)
- ☒ returned stamped postcard dated September 29, 2000 (1 pg.)
- ☒ Transmittal form and Request for Status (2 pgs.)
- ☒ returned stamped postcard dated September 29, 2000 (1 pg.)
- ☒ Transmittal form and Amendment of Preliminary Amendment (3 pgs.)
- ☒ Decision Granting Petition (1 pg.)
- ☒ Transmittal of Power of Attorney and Power of Attorney (2 pgs.)
- ☒ returned stamped postcard dated December 13, 1999 (1 pg.)
- ☒ Information Disclosure Statement and 1449 form (3 pgs.)
- ☒ Petition for Correction of Transmittal and Utility Patent Application Transmittal (3 pgs.)
- ☒ returned stamped postcard dated February 24, 1999 (1 pg.)
- ☒ Continued Prosecution Application Transmittal (2 pgs.)

- [X] copy of check #009098 (1 pg.)  
[X] Change of Correspondence Address (1 pg.)  
[X] Preliminary Amendment (6 pgs.)  
[X] Application including 1 drawing (22 pgs.)  
[X] Declaration (1 pg.)  
[X] Assignment cover sheet and Assignment (2 sets, 6 pgs.)

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:

Date of Transmission March 19, 2003

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STATUS DB-LA

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Serial/Patent No.: 09/533,556 Filing/Issue Date: February 24, 1999  
 Client: Operwave Systems Inc.  
 Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server  
 HSTZ File No.: 3399P087C Atty/Sec'y Initials: JMB/jaa  
 Date Mailed: 2-12-02 Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No.: _____	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> _____ Month(s) Extension of Time	<input type="checkbox"/> Amt. _____
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Amt. _____
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Response to Notice of Missing Parts	
<input type="checkbox"/> Declaration & POA (____ pgs.)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Orig & Copy of Invention Signed Later (____ pgs.)	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures	<input type="checkbox"/> Fee Transmittal, in duplicate	

☒ Other: Power of Attorney by Assignee and Revocation of Previous Powers (2 pgs.)



# RECEIVED

JAN 15 2002

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Serial/Patent No.: 09/533,556 Filing/Issue Date: February 24, 199  
Client: Openwave Systems Inc  
Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server

BSTZ File No.: 3399P087C

Atty/Secy Initials: IMR/jaa

Date Mailed: 12-10-01

Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

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|---|--|-------------------------------------|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                   | <input type="checkbox"/> Express Mail No.:   | <input type="checkbox"/> Check No.: |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                         | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: _____                          |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)       | <input type="checkbox"/> Information Disclosure Statement & PTO MGS (____ pgs.)      | <input type="checkbox"/> Check No.: |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____                          |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                | <input type="checkbox"/> Notice of Appeal  |                                     |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                       | <input type="checkbox"/> Position for Extension of Time                              |                                     |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)           | <input type="checkbox"/> Position for _____  |                                     |
| <input type="checkbox"/> Application - Design (____ pgs.)                                 | <input checked="" type="checkbox"/> Postcard   |                                     |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                    | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |                                     |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                            | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |                                     |
| <input type="checkbox"/> Assignment and Cover Sheet                                       | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |                                     |
| <input checked="" type="checkbox"/> Certificate of Mailing                                | <input type="checkbox"/> Response to Notice of Missing Parts                         |                                     |
| <input type="checkbox"/> Declaration & POA (____ pgs.)                                    | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |                                     |
| <input type="checkbox"/> Docketing Docs & Copy of Invention Disclosure Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |                                     |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____                       | <input type="checkbox"/> Fee Transmittal, in duplicate                               |                                     |

Other: Request for Filing Receipt (2 pgs.), copy of Decision Granting Petition, Change of Correspondence Address, Request for Change of Attorney Docket Number (2 pgs.)

ENTERED

JAN 16 2002

STATUS DB-LA

#6

Attorney Docket No.: 3399P087C

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Peter F. King et al.

Serial No.: 09/533,556

Filing Date: February 24, 1999

For: PROVIDING A DIRECTORY OF  
FREQUENTLY USED HYPERLINKS  
ON A REMOTE SERVER

Examiner: Not yet assigned

Group Art Unit: 2776

which is a continuation of:

Serial No.: 08/996,379

Filing Date: December 22, 1997

which issued as U.S. Patent No.: 5,895,471

Assistant Commissioner for Patents  
Washington, D.C. 20231REQUEST FOR FILING RECEIPT

Sir:

Applicant respectfully requests a filing receipt for the above-referenced patent application.

The above-referenced application was filed on February 24, 1999. To date, the applicant has not received an official filing receipt.

In support of this Request for Filing receipt, attached please find a copy of the Decision Granting Petition.

In view of the information presented, Applicant hereby respectfully requests the filing receipt for the above-referenced application.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

**ORIGINAL SIGNED BY**

Dated: 12/10 2001

JMB  
Jordan M. Becker  
Registration No. 39,602

Customer No. 26529  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 10, 2001

(Date of Deposit)

Julie Arango

(Typed or printed name of person mailing correspondence)

Julie Arango 12/10/01

(Signature of person mailing correspondence)



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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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 JOE ZHENG  
 PHONE.COM, INC  
 800 CHESAPEAKE DRIVE  
 REDWOOD CITY, CA 94063

JUN 07 2000


 SPECIAL PROGRAMS OFFICE  
 DAC FOR PATENTS

 DECISION GRANTING  
 PETITION

*Correct* → In re Application of  
 Peter F. King, et al  
 Application No. 09/533,556  
 Filed: February 24, 1999  
 For: PROVIDING A DIRECTORY OF  
 FREQUENTLY USED HYPERLINKS ON A  
 REMOTE SERVER

*11/21/99 ?*  
 This is a decision on the petition filed September 27, 1999, requesting that the Continued Prosecution Application request under 37 CFR 1.53(d), filed February 24, 1999, be treated as an application filed under 37 CFR 1.53(b) and be accorded a filing date of February 24, 1999.

A review of the file of prior application no. 08/996,379 reveals that a request for a continued prosecution application (CPA) under 37 CFR § 1.53(d) based on prior application no. 08/996,379, was filed in the above-identified patent application on February 24, 1999. However, the issue fee was paid in the prior application on January 14, 1999. A CPA must be filed before payment of the issue fee in the prior application. See 37 CFR 1.53(d) (1) (ii) (A). Since the issue fee was paid in the prior application before the CPA request was filed and the prior application has now issued as a patent, the CPA request cannot be processed as a proper CPA. *OK*

*Wrong* → In view of the petition and improper CPA request, the CPA request and other papers filed on February 24, 1999, have been removed from the file of application No. 08/996,379 and have been assigned application No. 09/553,556 for identification purposes. All further correspondence concerning the application deposited on February 24, 1999, should be directed to application No. 09/553,556, not application No. 08/996,379.

The present petition requests that the CPA request be treated as an application under 37 CFR 1.53(b). It is noted that the CPA request was filed with, *inter alia*, a copy of the parent application including specification, claims, Abstract, and drawings; a copy of the declaration and previous assignments; and Preliminary Amendment.

The petition is granted.

*Correct* → After mailing of this decision, PTO records will be corrected to show that the basic filing fee and all associated multiple dependent and excess claim fees paid on February 24, 1999, were paid in application No. 09/533,556 and not in application No. 08/996,379. The petition fee of \$130.00 will be charged to the practitioner's deposit account as authorized.

The above-identified application will then be forwarded to the Office of Initial Patent Examination Division for further processing as a continuation application of prior application no. 08/996,379 filed under 37 CFR 1.53(b) with a filing date of February 24, 1999, using the specification, drawings, and declaration filed February 24, 1999.

Telephone inquiries specific to this matter should be directed to Petitions Attorney, Jennifer M. Hayes, at (703) 306-5608, or if unavailable, to the undersigned at (703) 305-9285.

*Eugenia A. Jones*  
 Eugenia A. Jones  
 Legal Advisor  
 Office of Patent Legal Administration  
 Office of the Deputy Commissioner  
 for Patent Examination Policy

JMH

Attorney Docket No.: 3399P087C

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Peter F. King et al.

Serial No.: 09/533,556

Filing Date: February 24, 1999

For: PROVIDING A DIRECTORY OF  
FREQUENTLY USED HYPERLINKS  
ON A REMOTE SERVER

Examiner: Not yet assigned

Group Art Unit: 2776

---

which is a continuation of:

Serial No.: 08/996,379

Filing Date: December 22, 1997

---

which issued as U.S. Patent No.: 5,895,471Assistant Commissioner for Patents  
Washington, D.C. 20231REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

Sir:

To assist in the management of this case, Applicant requests a change of attorney docket number for the above-referenced patent application. Please change:

From:

UP-1007C1

To:

3399P087C

Please correct your records to reflect the above information.



No fee is believed to be due. However, if the PTO believes otherwise please charge deposit account 02-2666 any additional charges that may be due. If you have any questions please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ORIGINAL SIGNED BY

Dated: 12/10 2001

JMB  
Jordan M. Becker

Reg. No. 39,602

Customer No. 26529  
12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1030  
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on December 10, 2001

Date of Deposit

Julie Arango

Name of Person Mailing Correspondence

Julie Arango  
Signature

12/10/01  
Date



Application No.	09/533,556	Date of this mailing:	9-25-00
Filed	February 24, 1999	1 <sup>st</sup> Inventor	LIAO
Title:	Providing a Directory of Frequently Used Hyperlinks on a Remote Server		

The USPTO stamp hereon acknowledges receipt of the following:

	Document Description	Page #
1.	Transmittal Form	1
2.	Request for Status	1
3.	This return postcard	1

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OCT 10 2000  
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**POSTED**

Atty. Dkt. No. UP-1007C1

**OSTED**

Application No.	09/533,556	Date of this mailing:	9-25-00
Filed	February 24, 1999	1 <sup>st</sup> Inventor	LIAO
Title:	Providing a Directory of Frequently Used Hyperlinks on a Remote Server		

The USPTO stamp hereon acknowledges receipt of the following:

	Document Description	Page #
1.	Transmittal Form	1
2.	Request for Status	1
3.	This return postcard	1

Atty. Dkt. No. UP-1007C1

Assistant Commissioner for Patents  
Washington, D.C. 20231

20<sup>USA</sup>

ELAINE KRAMER-ADAMS  
CO. PATENT ADMINISTRATOR

Application No.	09/533,556	Date of this mailing:	9-25-00
Filed	February 24, 1999	1 <sup>st</sup> Inventor	LIAO
Title:	Providing a Directory of Frequently Used Hyperlinks on a Remote Server		

The USPTO stamp hereon acknowledges receipt of the following:

	Document Description	Page #
1.	Transmittal Form	1
2.	Amendment of Preliminary Amendment	2
3.	This return postcard	

**RECEIVED**  
OCT 10 2000  
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Atty.Dkt.No. UP-1007C1

Application No.	09/533,556	Date of this mailing:	9-25-00
Filed	February 24, 1999	1 <sup>st</sup> Inventor	LIAO
Title:	Providing a Directory of Frequently Used Hyperlinks on a Remote Server		

The USPTO stamp hereon acknowledges receipt of the following:

	Document Description	Page #
1.	Transmittal Form	1
2.	Amendment of Preliminary Amendment	2
3.	This return postcard	

Atty.Dkt.No. UP-1007C1

Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server

Application No.: 08/996,379

Filing Date: 02/24/99 Attorney Dkt. No.: UP-1007Ct

Mailed on: 12/8/99

Signed: *Charles R. Adams*

This is to acknowledge the receipt of the following papers:

1. Information Disclosure Statement (2 pages)
2. PTO FORM 1449 (1 page)
4. Return Receipt Postcard

The US Patent and Trademark Office Stamp:

Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server

Application No.: 08/996,379

Filing Date: 02/24/99 Attorney Dkt. No.: UP-1007Ct

Mailed on: 12/8/99

Signed: *Charles R. Adams*

This is to acknowledge the receipt of the following papers:

1. Information Disclosure Statement (2 pages)
2. PTO FORM 1449 (1 page)
4. Return Receipt Postcard

The US Patent and Trademark Office Stamp:



EJ327832297US (EXPRESS MAIL #)

Title: Providing a Directory of Frequently Used  
Hyperlinks On a Remote Server  
Serial No.: Not assigned.  
Filing Date: February 24, 1999  
Attorney Dkt. No.: 1007Ct  
Mailed on 2/24/99 Signed Clarence Thomas Adams

This is to acknowledge the receipt of the following papers:

1. Continued prosecution Application (CPA) Request Transmittal
2. Copy of parent application - 21 pages (16 pages Specification,  
3 pages Claims, Abstract, Drawing) as previously filed.
3. Copy of Declaration and Assignments as previously filed.
4. A Preliminary Amendment
5. Check in the amount of \$847.00
6. Change of Correspondence Address
7. Microfiche Appendix (17 pages)
8. Return Receipt Postcard

The US Patent and Trademark Office Stamp:

EJ327832297US (EXPRESS MAIL #)

Title: Providing a Directory of Frequently Used  
Hyperlinks On a Remote Server  
Serial No.: Not assigned.  
Filing Date: February 24, 1999  
Attorney Dkt. No.: 1007Ct  
Mailed on 2/24/99 Signed Clarence Thomas Adams

This is to acknowledge the receipt of the following papers:

1. Continued prosecution Application (CPA) Request Transmittal
2. Copy of parent application - 21 pages (16 pages Specification,  
3 pages Claims, Abstract, Drawing) as previously filed.
3. Copy of Declaration and Assignments as previously filed.
4. A Preliminary Amendment
5. Check in the amount of \$847.00
6. Change of Correspondence Address
7. Microfiche Appendix (17 pages)
8. Return Receipt Postcard

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INVOICE # 1007CT	INVOICE DATE 02/23/99	AMOUNT 847.00	DISCOUNT 0.00	VOUCHER # VOUCHER 008516	NET AMOUNT 847.00
<p>attorney Docket # 1007 Ct. King et al. Priority date 12/22/97</p> <p>Providing a Directory of Frequently Used Hyperlinks on a Remote Server.</p>					
TOTAL					847.00

AN ARTIFICIAL WATERMARK IS PRESENT ON THE REVERSE SIDE

 <p>800 CHESAPEAKE DRIVE REDWOOD CITY, CA 94063</p>	<p>SILICON VALLEY BANK SAND HILL OFFICE 3800 SAND HILL ROAD, BLDG. 1, SUITE 150 MENLO PARK, CA 94025 94-009 / 1111</p>	<p>CHECK NO. 009098</p> <p>DATE 02/24/99</p> <p>AMOUNT \$847.00</p>
<p>EIGHT HUNDRED FORTY-SEVEN AND NO/100 Dollars</p>		
<p>U S PATENT &amp; TRADEMARK ASSISTANT COMMISSIONER FOR PATENT &amp; TRADEMARKS BOX CPA WASHINGTON, DC 20231</p>		
<p>DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER</p>		

1009098 1121140399 05018013070

- Attorney DKT # 1007 Ct
- King et al.
- priority date: 12/22/97

"Providing A Directory of Frequently  
Used Hyperlinks on a Remote  
Server"

Microfiche  
Appendix  
enclosed.

(UWP002)